

§ 1260.1

Subpart B—Uniform Administrative Requirements for Grants and Cooperative Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations

GENERAL

- 1260.101 Purpose.
- 1260.102 Definitions.
- 1260.103 Effect on other issuances.
- 1260.104 Deviations.
- 1260.105 Subawards.

PRE-AWARD REQUIREMENTS

- 1260.110 Purpose.
- 1260.111 Pre-award policies.
- 1260.112 Forms for applying for Federal assistance.
- 1260.113 Debarment and suspension.
- 1260.114 Special award conditions.
- 1260.115 Metric system of measurement.
- 1260.116 Resource Conservation and Recovery Act (RCRA).
- 1260.117 Certifications and representations.

POST-AWARD REQUIREMENTS

FINANCIAL AND PROGRAM MANAGEMENT

- 1260.120 Purpose of financial and program management.
- 1260.121 Standards for financial management systems.
- 1260.122 Payment.
- 1260.123 Cost sharing or matching.
- 1260.124 Program income.
- 1260.125 Revision of budget and program plans.
- 1260.126 Non-Federal audits.
- 1260.127 Allowable costs.
- 1260.128 Period of availability of funds.

PROPERTY STANDARDS

- 1260.130 Purpose of property standards.
- 1260.131 Insurance coverage.
- 1260.132 Real property.
- 1260.133 Federally-owned and exempt property.
- 1260.134 Equipment.
- 1260.135 Supplies and other expendable property.
- 1260.136 Intangible property.
- 1260.137 Property trust relationship.

PROCUREMENT STANDARDS

- 1260.140 Purpose of procurement standards.
- 1260.141 Recipient responsibilities.
- 1260.142 Codes of conduct.
- 1260.143 Competition.
- 1260.144 Procurement procedures.
- 1260.145 Cost and price analysis.
- 1260.146 Procurement records.
- 1260.147 Contract administration.
- 1260.148 Contract provisions.

14 CFR Ch. V (1–1–02 Edition)

REPORTS AND RECORDS

- 1260.150 Purpose of reports and records.
- 1260.151 Monitoring and reporting program performance.
- 1260.152 Financial reporting.
- 1260.153 Retention and access requirements for records.

TERMINATION AND ENFORCEMENT

- 1260.160 Purpose of termination and enforcement.
- 1260.161 Termination.
- 1260.162 Enforcement.

AFTER-THE-AWARD REQUIREMENTS

- 1260.170 Purpose.
- 1260.171 Closeout procedures.
- 1260.172 Subsequent adjustments and continuing responsibilities.
- 1260.173 Collections of amounts due.

APPENDIX A TO SUBPART B OF PART 1260—CONTRACT PROVISIONS

AUTHORITY: 42 U.S.C. 2473(c)(1), Pub. L. 97–258, 96 Stat. 1003 (31 U.S.C. 6301 *et seq.*), and OMB Circular A–110.

SOURCE: 65 FR 62900, Oct. 19, 2000, unless otherwise noted.

Subpart A—General

§ 1260.1 Authority.

(a) The National Aeronautics and Space Administration (NASA) awards grants and cooperative agreements under the authority of 42 U.S.C. 2473(c)(5), the National Aeronautics and Space Act. This part 1260 is issued under the authority of 42 U.S.C. 2473(c)(1), Pub. L. 97–258, 96 Stat. 1003 (31 U.S.C. 6301 *et seq.*), and OMB Circular A–110.

(b) The Office of Management and Budget (OMB) approved information collection under the Paperwork Reduction Act and assigned OMB control numbers 2700–0047, Property Management and Control; 2700–0048, Patents; 2700–0049, Financial Management and Control; and 2700–0097, Central Contractor Registration.

§ 1260.2 Purpose.

(a) This subpart A of the NASA Grant and Cooperative Agreement Handbook (also subpart A of 14 CFR part 1260), provides supplemental NASA policies that clarify and amplify government-wide regulations for awarding and administering grants and cooperative agreements with educational and non-

profit organizations. The government-wide regulations that this subpart supplements are set forth in OMB Circular A-110 "Uniform Administrative Requirements for Grants and Agreements With Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations." (NASA has adopted OMB Circular A-110 as subpart B of this part 1260.)

(b) As required by the Office of Management and Budget (OMB), NASA has also adopted the standards set forth in OMB Circular No. A-133, Audits of States, Local Governments, and Non-Profit Organizations.

§ 1260.3 Definitions.

(a) The following definitions are a supplement to the subpart B definitions set forth at § 1260.102. Additional definitions applicable to specific categories of grants and cooperative agreements are set forth at 14 CFR 1273.3 and 14 CFR 1274.102.

(b) Throughout subpart A to this part 1260, the term "grant" includes "cooperative agreement" unless otherwise indicated.

Administrative grant officer means a Federal employee delegated responsibility for grant administration; *e.g.*, a NASA grant officer who has retained grant administration responsibilities, or an Office of Naval Research (ONR) grant officer delegated grant administration by a NASA grant officer.

Amendment means any document used to effect modifications to grants and cooperative agreements. Amendments may be issued unilaterally at the discretion of the grant officer.

Commercial firm means any corporation, trust or other organization which is organized primarily for profit.

Effective date means the date work can begin, which could be earlier or later than the date of signature on a basic award or modification. Expenditures made prior to award of a grant are incurred at the recipient's risk.

Expiration date means the date of completion specified in the grant, after which expenditures may not be charged against the grant except to satisfy obligations to pay allowable costs committed on or before that date.

Historically Black Colleges and Universities means institutions determined by

the Secretary of Education to meet the requirements of 34 CFR 608.2 and listed therein.

Minority educational institution means an institution determined by the Secretary of Education to meet the requirements of 34 CFR 637.4.

Non-profit organization means an organization that qualifies for the exemption from taxation under section 501 of the Internal Revenue Code of 1954, as amended, 26 U.S.C. 501.

Progress report means a concise statement of work accomplished during the report period (see §§ 1260.22 and 1260.75(b)(3)).

Recipient acquired equipment means equipment purchased or fabricated with grant funds by a recipient for the performance of work under its grant.

Small business concern means a concern, including its affiliates, which is independently owned and operated, not dominant in the field of operation in which it is bidding, and qualifies as a small business under the criteria and size standards in 13 CFR part 121.

Small disadvantaged business concern means a small business concern owned and controlled by individuals who are both socially and economically disadvantaged and meets the criteria set forth at 13 CFR part 24.

Summary of research means a document summarizing the results of the entire project, which includes bibliographies, abstracts, and lists of other media in which the research was discussed.

Women-owned small business concern means a small business concern that is at least 51 percent owned by women who are U.S. citizens and who also control and operate the business (15 U.S.C. 637(d)).

§ 1260.4 Applicability.

(a) Subparts A and B of this part 1260 establish policies and procedures for grants and cooperative agreements awarded by NASA to institutions of higher education, hospitals, and other non-profit organizations.

(b) Subject to the special considerations in this paragraph, subparts A and B of this part 1260 are also applicable to NASA grants and cooperative agreements awarded to commercial